



Series 3 Exam Secrets Study Guide: Series 3 Test Review for the National Commodity Futures Examination

Series 3 Exam Secrets Test Prep Team

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Includes Practice Test Questions

Get the test prep help you need to be successful on the Series 3 test.

The Series 3 Exam is extremely challenging and thorough test preparation is essential for success. *Series 3 Exam Secrets Study Guide* is the ideal prep solution for anyone who wants to pass the Series 3 Exam.

Not only does it provide a comprehensive guide to the Series 3 Exam as a whole, it also provides practice test questions as well as detailed explanations of each answer.

Series 3 Exam Secrets Study Guide includes:

- A thorough review for the National Commodity Futures - Series 3 Exam
- A breakdown of futures trading theory and basic functions terminology
- An examination of futures margins, option premiums, price limits, futures settlements, delivery, exercise, and assignment
- A guide to types of orders, customer accounts, price analysis
- An in-depth look at basic hedging, basis calculations, hedging futures
- An analysis of spreading
- A breakdown of speculating in futures
- An examination of option hedging, speculating, spreading
- A guide to regulations
- Comprehensive practice questions with detailed answer explanations

It's filled with the critical information you'll need in order to do well on the test the concepts, procedures, principles, and vocabulary that the Financial Industry Regulatory Authority (FINRA) expects you to have mastered before sitting for the exam.

The Futures Trading Theory and Basic Functions Terminology section covers:

- General Theory
- The Futures Contract
- The Structure of Futures Markets
- Hedging Theory
- Speculative Theory
- General Futures Terminology

The Futures Margins, Option Premiums, Price Limits, Futures Settlements, Delivery, Exercise, and Assignment section covers:

- Margin requirements
- Option premiums

- Price limits
- Offsetting contracts, settlements, delivery
- Options exercise, assignment, settlement

The Types of Orders, Customer Accounts, Price Analysis section covers:

- Basic characteristics and uses of orders
- Additional orders
- Technical price analysis
- Interest rate analysis

The Basic Hedging, Basis Calculations, Hedging Futures section covers:

- Short hedging and long hedging
- The Basis
- Hedging Calculations

The Spreading section covers:

- Spread Trading
- Common Types of Spreads

The Speculating in Futures section covers:

- Profit/Loss Calculations for Speculative Trades
- Trading Applications

The Option Hedging, Speculating, Spreading section covers:

- Option Theory
- Option Hedge Strategies / Calculations
- Option Speculative Strategies / Calculations
- Option Spread Strategies / Calculations

The Regulations section covers:

- General Regulations
- FCM/IB Regulations
- CPO/CTA
- Arbitration Procedures
- NFA Disciplinary Procedures
- CFTC Commodity Exchange Act Enforcement

These sections are full of specific and detailed information that will be key to passing the Series 3 Exam. Concepts and principles aren't simply named or described in passing, but are explained in detail. The guide is laid out in a logical and organized fashion so that one section naturally flows from the one preceding it. Because it's written with an eye for both technical accuracy and accessibility, you will not have to worry about getting lost in dense academic language.

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